

## **S.D.BARGIR & CO.**

### **COMPANY SECRETARIES**

2/26, Blossom Co-op Housing Society, Military Road, Marol, Andheri (East), Mumbai-400059  
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## **SECRETARIAL COMPLIANCE REPORT OF PIX TRANSMISSIONS LIMITED FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

I, S D Bargir, proprietor of S D BARGIR & CO, company secretaries, Mumbai have examined:

- a) all the documents and records made available to us and explanation provided by M/S PIX TRANSMISSIONS LIMITED CIN: L25192MH1981PLC024837 having its Registered Office at J-7, MIDC, Hingna Road, Nagpur-440 016 ( hereinafter referred as “the Listed Entity”).
  - b) the filings/ submissions made by the listed entity to the stock exchanges
  - c) website of the listed entity,
  - d) any other document / filing , as may be relevant, which has been relied upon to make this certification
- for the year ended 31<sup>ST</sup> March, 2021 (1<sup>st</sup> April, 2020 to 31<sup>st</sup>March, 2021) in respect of compliance with the provisions of :
- a. the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued there under; and
  - b. the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India (“SEBI”)

The specific Regulations, whose provisions and the circulars/ guidelines issued there under have been examined include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 **(Not applicable during the audit period)**



- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not applicable during audit period.)**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not applicable during the audit period)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not applicable during the audit period,)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Depositories Act, 1996 and
- (j) Securities and Exchange Board of India (Registrar to an Issue and Share Transfer Agents) Regulations, 1993 regarding Companies Act, and dealing with the client. **(Not applicable during the audit period,)**

and based on the above examination, I, hereby, report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under except for the following provisions

Sr. No.	Compliance Requirement (Regulations/Circulars/guidelines including specific clause)	Deviations	Observations/Remarks of the Practicing Company secretary
	Not Applicable	NA	Not Applicable

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my/our examination of those records.
- c) The following are the details of actions taken against the listed entity / its promoters/ directors / material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under

Sr. No.	Action taken by	Details of violation	Details of action taken	Observations of the Practicing Company secretary, if any
		Not Applicable		Not Applicable



- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observation of practicing Company Secretary in the previous reports	Observations made in the Secretarial Compliance Report for the year ended 31 <sup>st</sup> March, 2021	Action taken by the listed entity , if any	Comments of the Practicing Company Secretary on the action taken by the listed entity
1	Non submission of annual report within the period prescribed under Regulation 34	Not applicable. No observations for the year 31 <sup>st</sup> March, 2021	BSE levied penalty on company, however BSE later waived the penalty earlier levied.	Since the penalty levied by BSE was paid by the company but subsequently BSE waived off the penalty amount vide its letter dated 7.1.2020.

Place: Mumbai  
Date: 19<sup>th</sup> April, 2021



for S D BARGIR & CO  
COMPANY SECRETARIES

**S D BARGIR**  
(Proprietor)

FCS No.3745, CP.NO.8445  
UDIN No.F003745C000130410